



Express Mail Label No.: EU147830683US

Case No.: 4448/P2/CPS/IBSS

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

This declaration is of the following type:

- ☐ original
- ☐ divisional
- ☐ continuation
- ☒ continuation-in-part

### INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### TITLE OF INVENTION

FACILITIES CONNECTION BUCKET FOR PRE-FACILITATION OF WAFER FABRICATION  
EQUIPMENT

### SPECIFICATION IDENTIFICATION

The specification of which:

- ☐ is attached hereto
- ☒ was filed March 14, 2002, under Serial No. 10/099,900, executed herewith; or
- ☐ Express Mail No. \_\_\_\_\_ (as Serial No. not yet known) and was amended on \_\_\_\_\_ (if applicable)
- ☐ was described and claimed in PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, 1.56,

and which is material to the examination of this application; namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and

- ☐ In compliance with this duty there is attached an Information Disclosure Statement in accordance with 37 CFR 1.98.

### PRIORITY CLAIM (35 U.S.C. §119)

I hereby claim foreign priority benefits under Title 35, United States Code, §119, of any foreign

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application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America or of any United States Provisional Application(s) listed below, and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

- ☒ No such applications have been filed.  
☐ Such applications have been filed as follows:

**A. Prior foreign/PCT/provisional application(s) filed within 12 mos. (6 mos. for design) prior to this application, and any priority claims under 35 U.S.C. § 119**

<u>Country/PCT</u>	<u>Application No</u>	<u>Date Filed</u>	<u>Priority Claimed</u>
			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

**B. All foreign application(s), if any, filed more than 12 mos. (6 mos for design) prior to this U.S. application**

Country:  
Application No:  
Filing date:

**PRIORITY CLAIM (35 U.S.C. §120)**

I hereby claim the benefit under Title 35, United States Code, § 120, of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information that is material to the examination of this application (namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

- ☐ No such applications have been filed  
☒ Such applications have been filed, as follows:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Status</u>	
		<u>Patent Pending</u>	<u>Abandoned</u>
09/706,435	Nov. 3, 2000	Yes	
09/906,395	July 15, 2001	Yes	

**POWER OF ATTORNEY**

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Peter J. Sgarbossa	Registration No. 25,610
Donald Verplancken	Registration No. 33,217
Lawrence Edelman	Registration No. 25,226
Joseph Bach	Registration No. 37,771

Raymond Kam-On Kwong	Registration No. 37,165
James C. Wilson	Registration No. 35,412
Robert W. Mulcahy	Registration No. 25,436
Walter Benjamin Glenn	Registration No. 44,713
Valerie G. Dugan	Registration No. 36,125
Brian M. Dugan	Registration No. 41,720
Nathaniel Levin	Registration No. 34,860

**Send correspondence to:**

**Direct telephone calls to:**

Patent Counsel, MS/2061  
 Legal Affairs Dept.  
 Applied Materials, Inc.  
 P.O. Box 450A  
 Santa Clara, CA 95052

Valerie G. Dugan  
 (914) 332-9081

**DECLARATION**

*I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Sec. 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.*

Full name of first inventor: Ronald Vern Schauer

Inventor's signature: Ronald Vern Schauer

Date: Sept 19, 2002

Residence:

Gilroy, CA 95020

Post Office Address:

9480 Sugar Babe Drive  
 Gilroy, CA 95020  
 U.S.A.

Country of Citizenship: United States of America

Full name of second inventor: John Charles Davies

Inventor's signature: John Charles Davies

Date: 9/19/02

Residence:

Los Gatos, CA 95030-6212

Post Office Address:

110 Alta Heights  
 Los Gatos, CA 95030-6212  
 U.S.A.

Country of Citizenship: United States of America

(Declaration ends with this page)



Practitioner's Docket No. 4448/P2/IBSS

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Schauer, Ronald Vern; Davies, John Charles

Application No.: 10/099,900

Group No.: 3632

Filed: 03/14/2002

Examiner: UNKNOWN

For: FACILITIES CONNECTION BUCKET FOR

PRE-FACILITATION OF WAFER FABRICATION EQUIPMENT

**Assistant Commissioner for Patents**

**Washington, D.C. 20231**

**ASSENT OF ASSIGNEE TO CORRECTION  
AND/OR ADDITION OF INVENTOR(S)**

**Name of Assignee:**

APPLIED MATERIALS, INC.

**Address of Assignee:**

3050 Bowers Avenue  
Santa Clara, CA 95054

Assignment was recorded on June 12, 2002 at:

Reel ---12531

Frame ---0352

FORM PTO 1595 and COPY OF ASSIGNMENT are attached.

Assignee hereby assents to the correction of inventorship filed herewith.

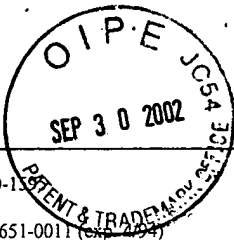
**RIGHT OF ASSIGNEE TO TAKE ACTION**

Pursuant to 3.73(b)(2), the below signed individual is an Officer of the Assignee and is a person authorized to act on behalf of the Assignee.

  
\_\_\_\_\_  
**Signature**

Joseph J. Sweeney, Group Vice President and Secretary

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FORM PTO-159  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 2-99)

## RECORDATION FORM COVER SHEET

U.S. Department of Commerce  
Patent And Trademark Office

## PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Date

Ronald Vern SCHAUER 6-4-2002

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherExecution Date: SEE ABOVE

2. Name and address of receiving party(ies):

Name: APPLIED MATERIALS, INCInternal Address: PATENT COUNSEL, M/S 2061Street Address: P.O. Box 450-ACity: Santa Clara State: CA Zip: 95052Additional name(s) & address(es) attached? ☐ Yes ☒ No

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4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application serial 10/099,900 filed 03/14/2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: APPLIED MATERIALS, INCInternal Address: PATENT COUNSEL, M/S 2061Street Address: P.O. Box 450-ACity: Santa Clara State: CA Zip: 950526. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41) \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account50-1074

8. Deposit account number:

50-1074

## DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Joseph Bach Registration No. 37, 771

Name of Person Signing

Signature

DATE

6/12/02Total number of pages including cover sheet, attachments and document: 3Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT FOR APPLICATION FOR PATENT

## WHEREAS:

Names and Addresses

Of Inventors:

- 1) Ronald Vern SCHAUER

(hereinafter referred to as Assignors), have invented a certain invention entitled:

***FACILITIES CONNECTION BUCKET FOR PRE-FACILITATION OF WAFER FABRICATION  
EQUIPMENT***

for which application for Letters Patent in the United States was filed on 03/14/2002, under Serial No. 10/099,900, executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1)

June 4, 2002

Ronald Vern SCHAUER

**ASSIGNMENT FOR APPLICATION FOR PATENT**

WHEREAS:

Name and Address of Inventor:

John Charles Davies  
110 Alta Heights  
Los Gatos, CA 95030-6212

(hereinafter referred to as Assignor) has invented a certain invention entitled:

**FACILITIES CONNECTION BUCKET FOR PRE-FACILITATION  
OF WAFER FABRICATION EQUIPMENT**

for which application for Letters Patent in the United States was filed on March 14, 2002, under Serial No. 10/099,900, executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as the Application), and the invention disclosed therein (hereinafter referred to as the Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as the Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignor hereby sells, assigns, transfers and conveys to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignor shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use



proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignor in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignor's respective heirs, legal representatives and assigns.

4. Said Assignor hereby warrants and represents that he/she has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignor has executed and delivered this instrument to said Assignee on the dates indicated below.

1) 9/19/02, 2002

  
John Charles Davies